

## Redemption request

Please use this form if you are an existing investor in one of the below funds and wish to make a redemption.

Complete all sections in BLOCK capitals and using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

**1. Please ensure that you have completed the following:**

- written your account number and account name as it appears on your latest statements
- written which fund this instruction applies to
- written the amount in either Australian dollars or units if you are only making a partial redemption
- provided your bank account details
- signed the form as per the 'Signing instructions' in section 4.

**2. Send your redemption request to us.**

You can return your forms by post or email according to the details below:

Send by post: PIMCO Funds - Unit Registry  
GPO Box 804  
Melbourne VIC 3001

Scan and email to: [pimco\\_transactions@unitregistry.com.au](mailto:pimco_transactions@unitregistry.com.au)

Please include your investor number in the subject line of your email.

PIMCO Investor Services will not be able to process your redemption until we receive the correct documentation. This may affect the unit price applying to your investment.

**3. Your privacy**

For the purposes of providing products and services to you, PIMCO Australia Management Limited ABN 37 611 709 507 AFS Licence No. 487 505 ("PIMCO", "us", "our") collects personal information about you (as outlined in the PDS) including from sources such as account applications and other forms, from other written, electronic or verbal correspondence, from your transactions, from your brokerage or financial advisory firm, financial adviser or consultant, and/or from information captured on our internet websites.

When you sign this Redemption Form, you agree that PIMCO can, consistently with the Privacy Act 1988 (Cth) ("**Privacy Act**"), collect, use and disclose personal information about you for those purposes. In some circumstances, PIMCO may be required by law to collect your personal information, for example under the AML/CTF Act.

Your personal information may be disclosed to entities located outside Australia, including entities located in Brazil, Canada, Germany, Hong Kong, Italy, Japan, Singapore, Switzerland, United Kingdom and United States of America where your personal information may not receive the level of protection afforded under Australian law.

We take reasonable steps to ensure that any third party we disclose your personal information to is bound by privacy obligations to protect information about you.

Under the Privacy Act, you have rights to request access to, and correction of, personal information PIMCO holds about you. You can find more information about how PIMCO handles and manages your personal information, and about your rights in connection with access, correction and complaints, in PIMCO's Privacy Policy at [pimco.com.au](http://pimco.com.au). If you would like a copy of PIMCO's Privacy Policy, please contact our Compliance Officer on +61 2 9279 1771 or [privacy@au.pimco.com](mailto:privacy@au.pimco.com).

## 1 Investor details

Investor number

Investor name

## 2 Redemption details

Please indicate if you are making a full redemption or a partial redemption.

If you are making a partial redemption, please specify the number of units or dollar amount you wish to redeem. To keep your account open, we currently require a minimum balance of AUD\$20,000. If your balance after redemption is lower than the minimum, we will contact you as we will be unable to process your request.

FUND NAME	APIR Code	WITHDRAWAL AMOUNT AUD\$	REDEMPTION OPTION (indicate preference with an X)	
			Full redemption	Partial redemption units
PIMCO Australian Bond Fund – Wholesale Class	ETL0015AU		<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Australian Low Duration bond Fund – Wholesale Class	ETL0182AU		<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Capital Securities Fund – Wholesale Class	ETL0445AU		<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Diversified Fixed Interest Fund – Wholesale Class	ETL0016AU		<input type="checkbox"/>	<input type="checkbox"/>
PIMCO ESG Global Bond Fund - Wholesale Class	PIC6396AU		<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Global Bond Fund – Wholesale Class	ETL0018AU		<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Global Credit Fund – Wholesale Class	ETL0019AU		<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Income Fund – Wholesale Class	ETL0458AU		<input type="checkbox"/>	<input type="checkbox"/>
PIMCO Short Term Active Yield Fund - Wholesale Class	PIC7002AU		<input type="checkbox"/>	<input type="checkbox"/>
PIMCO TRENDS Managed Futures Strategy Fund – Wholesale Class	PIC9659AU		<input type="checkbox"/>	<input type="checkbox"/>

\* Please note that New Zealand investors in the PIMCO Target Return Fund can only have their income distribution reinvested.

## 3 Payment of proceeds

Redemption will be paid to the bank account on file.

**Please note:** PIMCO Funds can only pay redemption proceeds to the bank account held on file which has previously been submitted and accepted. If there are changes to your bank account held on file, PIMCO Funds requires an original Change of Details form with an original signature to be completed. Confirmation must be received that your bank account has been updated prior to acceptance of a redemption request. To avoid fraudulent requests, we will not make any payments to third party bank accounts. There may also be a delay in finalizing payment to ensure that the redemption request is genuine. No interest is payable for any delay in finalizing payment of your redemption money.

## 4 Signing instructions

By signing this form:

- I/we agree that PIMCO are entitled to act on the instructions, without further enquiry and these instructions supersede and have priority over all previous instructions in respect to my/our investment.
- I/We agree that any payment made according to instructions received by post, courier, email or fax shall be a complete satisfaction of PIMCO's obligations, despite any fact or circumstances such as the payment being made at the request of my/our authorised representative without my/our knowledge or authority; and
- I/We agree that if the payment is made according to the terms in this form, I/we or any person claiming through or under me/us, shall have no claim against the Responsible Entity, PIMCO or PIMCO Investor Services (via State Street Australia Limited) about the payment.

### Signing instructions

**Individual** – where the investment is in one name, the account holder must sign.

**Joint Holding** – where the investment is in more than one name, all of the account holders must sign.

**Companies** – where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

**Trust** – the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

**Power of Attorney** – if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and Certified Identification Document of the Power of Attorney. I/we attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Signature of investor 1, director or authorised signatory

Please print full name

Date (DD/MM/YYYY)

 /  / 

Company officer (please indicate company capacity)

☐

Director

☐

Sole director and company secretary

☐

Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date (DD/MM/YYYY)

 /  / 

Company officer (please indicate company capacity)

☐

Director

☐

Company secretary

☐

Authorised signatory