

## Change of Name Form

Use this form if you are an existing investor and wish to correct your name. Do not use this form if you wish to transfer your investment to someone else.

1. Please complete all sections in block capitals and using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

Please double check that you have completed the following:

- written your account number and account name as it appears on your latest periodic or transaction statement
- completed and signed the name change section relevant to you.

2. Collect and certify the documents needed to identify and verify the name change.

Make copies of your change of name document(s) and arrange for them to be certified.

• Certifying copies

You must have someone certify the copies you send to us. The following people can be the certifier:

• your financial adviser

so long as they are an officer with, or authorised representative of, a holder of an Australian financial services licence (or foreign equivalent), having 2 or more continuous years of service with one or more licensees, or

• your accountant

so long as they are a member of the Institute of Chartered Accountants in Australia, CPA Australia or the Australian National Institute of Accountants (or foreign equivalent) with 2 or more years of continuous membership, or

• your lawyer

so long as they are a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court, of Australia or foreign country, as a legal practitioner (however described), or

• an Australian justice of the peace, notary public or a police officer

• or foreign equivalent, or

• a post office worker

so long as they are in charge of a Post Office or are a permanent employee with 2 or more years of continuous service, or

• a bank or financial institution officer

so long as they are an officer with 2 or more continuous years of service with one or more financial institutions or companies, or

• a consular officer

so long as they are a consular officer or diplomatic officer, or

• a judge, magistrate, chief executive officer of a court, or registrar or deputy registrar of a court, police officer or a notary public.

What should the person certifying write?

"I [name] of [address] being [capacity e.g. Justice of the Peace] certify this and the following [x] pages as a true copy of the original document." Each page should be initialed by the person certifying your documents.

Not in English?

Documents not in English must be accompanied by an English translation prepared by an accredited translator

(contact us if you need guidance on accredited translators).

Send your documents to us

Before you submit your Change of Name Form, please check that you have:

- signed the Change of Name Form
- included the required change of name documents

Please post your **signed** Change of Name Form and **certified copies** of the relevant change of name document(s) to us.

Send by Post: PIMCO Funds – Unit Registry  
GPO Box 804  
Melbourne VIC 3001  
Australia

### 3. Your privacy

For the purposes of providing products and services to you, PIMCO Australia Management Limited ABN 37 611 709 507 AFS Licence No. 487 505 ("PIMCO", "us", "our") collects personal information about you (as outlined in the PDS) including from sources such as account applications and other forms, from other written, electronic or verbal correspondence, from your transactions, from your brokerage or financial advisory firm, financial adviser or consultant, and/or from information captured on our internet websites.

When you sign this Application Form, you agree that PIMCO can, consistently with the Privacy Act 1988 (Cth) ("Privacy Act"), collect, use and disclose personal information about you for those purposes. In some circumstances, PIMCO may be required by law to collect your personal information, for example under the AML/CTF Act.

Your personal information may be disclosed to entities located outside Australia, including entities located in Brazil, Canada, Germany, Hong Kong, Italy, Japan, Singapore, Switzerland, United Kingdom and United States of America where your personal information may not receive the level of protection afforded under Australian law.

We take reasonable steps to ensure that any third party we disclose your personal information to is bound by privacy obligations to protect information about you.

Under the Privacy Act, you have rights to request access to, and correction of, personal information PIMCO holds about you. You can find more information about how PIMCO handles and manages your personal information, and about your rights in connection with access, correction and complaints, in PIMCO's Privacy Policy at [pimco.com.au](http://pimco.com.au). If you would like a copy of PIMCO's Privacy Policy, please contact our Compliance Officer on +61 2 9279 1771 or [privacy@au.pimco.com](mailto:privacy@au.pimco.com).

## 1. Investor details

Account number

Account name

  

## 2. Change of name

Please complete if you are changing the name of an individual or an individual trustee.

Title                    Full given names

 

Surname

Please supply the following supporting documents:

- a certified copy of driver's licence or current passport

And one of the following:

- a certified copy of Marriage Certificate
- a certified copy of Decree Absolute
- a certified copy of Change of Name Certificate

## 3. Acknowledgements and signing instructions

By completing and signing this form, you

- authorise us to act according with the instructions on this form
- acknowledge that the instructions on this form supersede, and have priority over, all previous instructions received by us, and
- agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form.

## Who needs to sign this form

**Individual** – where the investment is in one name, the account holder must sign.

**Joint Holding** – where the investment is in more than one name, all of the account holders must sign.

**Power of Attorney** – if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and Certified Identification Document of the Power of Attorney. I/we attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

In consideration of amending the register you must agree to indemnify and forever keep indemnified us (including our directors, offices and employees) from and against all losses, claims, actions, proceedings, demands, costs and expenses which may be made or brought against us by reason of compliance with your request.

Old signature

Please print full name

Date (DD/MM/YYYY)

 /  / 

New signature

Please print full name

Date (DD/MM/YYYY)

 /  /