

# Switch Request Form

## Investor Services

PIMCO Investor Services Phone:  
1300 113 547 (Australia) or  
+61 2 8823 2583  
Email: [pimco@unitregistry.com.au](mailto:pimco@unitregistry.com.au)  
Web: [pimco.com.au](http://pimco.com.au)

**Please use this form if you are an existing investor and wish to switch your investment between the Funds.**

Please complete all sections in block capitals using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

**1. Read and ensure you understand the Product Disclosure Statement (PDS) for each Fund you are switching to.**

The PDS is available on our website [www.pimco.com.au](http://www.pimco.com.au) or from your financial adviser. If you are investing in the fund for the first time, you will also need to complete the relevant application form, available at [pimco.com.au](http://pimco.com.au).

**2. Please ensure that you have completed the following:**

- Entered account number and investor name in section 1 as they appear on your latest periodic or transaction statement;
- Read and ensure you understand the important considerations on section 2
- Entered your switching details, including the number of units or the dollar value you wish to switch in section 3
- Ticked the 'Existing instructions' box (if applicable) in section 4 and
- Signed the form as per the 'Signing instructions' in section 5

**3. Send your documents to us.**

You can return your form by post or email according to the details below:

Send by Post: PIMCO Investor Services  
GPO Box 804  
Melbourne VIC 3001  
Australia

Email forms to: [pimco\\_transactions@unitregistry.com.au](mailto:pimco_transactions@unitregistry.com.au)

#### 4. Your privacy

For the purposes of providing products and services to you, PIMCO Australia Management Limited ABN 37 611 709 507 AFS Licence No. 487 505 ("PIMCO", "us", "our") collects personal information about you (as outlined in the PDS) including from sources such as account applications and other forms, from other written, electronic or verbal correspondence, from your transactions, from your brokerage or financial advisory firm, financial adviser or consultant, and/ or from information captured on our internet websites.

When you sign this Switch Request Form, you agree that PIMCO can, consistently with the Privacy Act 1988 (Cth) ("**Privacy Act**"), collect, use and disclose personal information about you for those purposes. In some circumstances, PIMCO may be required by law to collect your personal information, for example under the AML/CTF Act.

Your personal information may be disclosed to entities located outside Australia, including entities located in Brazil, Canada, Germany, Hong Kong, Italy, Japan, Singapore, Switzerland, United Kingdom and United States of America where your personal information may not receive the level of protection afforded under Australian law.

We take reasonable steps to ensure that any third party we disclose your personal information to is bound by privacy obligations to protect information about you.

Under the Privacy Act, you have rights to request access to, and correction of, personal information PIMCO holds about you. You can find more information about how PIMCO handles and manages your personal information, and about your rights in connection with access, correction and complaints, in PIMCO's Privacy Policy at [pimco.com.au](http://pimco.com.au). If you would like a copy of PIMCO's Privacy Policy, please contact our Compliance Officer on +61 2 9279 1771 or [privacy@au.pimco.com](mailto:privacy@au.pimco.com).

## 1. INVESTOR DETAILS

Account Number \_\_\_\_\_

Account name \_\_\_\_\_

## 2. IMPORTANT CONSIDERATIONS

Subject to the terms and conditions relating to applications and redemptions outlined in the current PDS and Reference Guide the following generally applies to the switching of investments:

- Switching between Funds may also give rise to tax consequences and it is recommended that you check the tax implications with your financial adviser before switching
- A switch is processed by us as a withdrawal from the fund being switched out of and an application into the fund being switched into. The Funds' buy/sell spread will generally apply.
- Your switch instruction must be received and accepted by PIMCO prior to 2:00pm Sydney AEST on a Business Day to be processed with the exit price and entry price effective for that Business Day (and calculated on the next Business Day). Instructions received and accepted after 2:00pm Sydney AEST on a Business Day but before 2:00pm on the next Business Day, will generally be processed using the exit price and entry price effective for that next Business Day.
- There is no minimum switch amount into a fund in which you have a current holding for the Wholesale class and the Institutional class. The minimum initial investment of AUD\$20,000 for the Wholesale class and AUD\$30,000,000 for the Institutional class apply if you are switching to a fund in which you are currently not invested.
- A minimum balance (AUD\$20,000 for the Wholesale class units and AUD\$100,000 for the Institutional class units, in any Fund) is required to keep your account open. If your balance in any fund after the switch is below minimum balance, we will contact you as we may be unable to process your request.
- Please ensure you have read and understood the current PDS for the fund into which you are switching.
- If you intend to switch your investment between different classes, please contact PIMCO Investor Services on 1300 113 547 or +61 2 8823 2583.

## 3. TRANSFER DETAILS

Please indicate the Fund(s) you wish to switch from/to and specify the number of units or dollar amount to be switched. If you wish to switch your entire unit holding, please cross the box provided next to the applicable Fund(s).

### Switch your investment between Wholesale Class

FUND NAME	APIR	Switch your investment		PLEASE COMPLETE ONE COLUMN ONLY		
		From	To	\$ AMOUNT TO BE SWITCHED	NUMBER OF UNITS TO BE SWITCHED	FULL UNIT BALANCE (X)
PIMCO Australian Bond Fund – Wholesale Class	ETL0015AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Australian Low Duration Bond Fund – Wholesale Class	ETL0182AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Capital Securities Fund – Wholesale Class	ETL0445AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Diversified Fixed Interest Fund – Wholesale Class	ETL0016AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO ESG Global Bond Fund - Wholesale Class	PIC6396AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Global Bond Fund – Wholesale Class	ETL0018AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Global Credit Fund – Wholesale Class	ETL0019AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Income Fund – Wholesale Class	ETL0458AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO TRENDS Managed Futures Strategy Fund – Wholesale Class	PIC9659AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>

## Switch your investment between Institutional Class

FUND NAME	APIR	Switch your investment		PLEASE COMPLETE ONE COLUMN ONLY		
		From	To	\$ AMOUNT TO BE SWITCHED	NUMBER OF UNITS TO BE SWITCHED	FULL UNIT BALANCE (X)
PIMCO Australian Bond Fund – Institutional Class	ETL0115AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Australian Low Duration Bond Fund – Institutional Class	ETL0175AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Diversified Fixed Interest Fund – Institutional Class	ETL0116AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Global Bond Fund – Institutional Class	ETL0112AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Global Credit Fund – Institutional Class	ETL0114AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO ESG Global Bond Fund - Institutional Class	PIC9673AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Income Fund – Institutional Class	PIC5683AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>
PIMCO Target Return Fund	ETL0050AU	<input type="checkbox"/>	<input type="checkbox"/>	AUD\$		<input type="checkbox"/>

### 4. EXISTING INSTRUCTIONS

- ☐ If you tick this box, you confirm that your existing instructions (distribution, reporting preferences and financial institution account details) continue to apply to your investment in the Fund(s) you are switching to. If you wish to change your instructions, please complete the 'Change of details' form, available at [www.pimco.com.au](http://www.pimco.com.au) or on request from PIMCO.

### 5. ACKNOWLEDGEMENTS AND SIGNATURES

By completing and signing this form, you are telling us:

- you have received, read and understood the current PDS of the Fund you are switching to,
- monies deposited are not associated with crime, money laundering or terrorism financing, nor will monies received from your account have any such association,
- you are not bankrupt or a minor, and you agree to be bound by the constitution and the PDS of each Fund, each as amended from time to time.

**Individual** – where the investment is in one name, the account holder must sign.

**Joint Holding** – where the investment is in more than one name, all of the account holders must sign.

**Companies** – where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone.

Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed

**Trust** – the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

**Power of Attorney** – if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and Certified Identification Document of the Power of Attorney. I/we attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Signature of investor 1, director or Attorney \_\_\_\_\_

Please print full name \_\_\_\_\_

Date \_\_\_\_\_

Company officer (please indicate company capacity)

☐ Director

☐ Sole director and company secretary

Signature of investor 2, director/company secretary or Attorney \_\_\_\_\_

Please print full name \_\_\_\_\_

Date \_\_\_\_\_

Company officer (please indicate company capacity)

☐ Director

☐ Company secretary