

## PIMCO Funds

## CLASS A AND CLASS C SHARES

**Contact Information:**

Phone: 800.426.0107

Website: www.pimco.com

**Direct Mail:**

PIMCO Funds

P.O. Box 219294

Kansas City, MO 64121

**Overnight Mail:**

PIMCO Funds

801 Pennsylvania Avenue, Ste 219294

Kansas City, MO 64105-1307

Please use one form for each account being transferred. If you are establishing a new account, please return this form along with your completed PIMCO Account Application.

A transfer request received prior to the close of regular trading on the New York Stock Exchange (normally 4:00 p.m., Eastern time) will be effected that day. A transfer request received after the close of regular trading on the NYSE becomes effective on the next business day.

The following information **MUST** be completed:

**1. Personal Information**

Name (First, Middle Initial, Last) \_\_\_\_\_

Telephone Number ( ) \_\_\_\_\_ Date of Birth (mm/dd/yyyy) \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Social Security Number \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Name (If Joint Account) \_\_\_\_\_

Telephone Number ( ) \_\_\_\_\_ Date of Birth (mm/dd/yyyy) \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Social Security Number \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

**2. Delivering Account Information (Please refer to your statement for the following information)**

Registered Account Name \_\_\_\_\_

Account Number \_\_\_\_\_

Fund Name \_\_\_\_\_

Fund Number/CUSIP/Ticker \_\_\_\_\_ Firm Phone number \_\_\_\_\_

Delivering Firm Name \_\_\_\_\_

Delivering Firm Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

**3. Investment Instructions**☐ New Account (PIMCO Account Application attached)☐ Existing Account Provide existing account number: \_\_\_\_\_

## 4. Transfer

Transfer the proceeds directly to my PIMCO Funds Account ☐ Immediately ☐ At Maturity

I understand that there may be fees and/or penalties if I opt to transfer an investment before maturity.

☐ Transfer Dollar Amount \_\_\_\_\_  
OR

☐ Transfer Share Amount \_\_\_\_\_  
OR

☐ Transfer All Shares

Signature of Owner X \_\_\_\_\_ Date \_\_\_\_\_

Signature of Joint Owner X \_\_\_\_\_ Date \_\_\_\_\_

(If multiple account owners, all must sign.)

## 5. Signature Validation (if applicable)

Your current Firm or Fund Company may require your signature to be Medallion Signature Guarantee or Signature Validation Program Stamped. Call them for requirements to avoid delays. Medallion Signature Guarantee or Signature Verification Program Stamped by:

**Medallion Signature Guarantee or Signature Validation Program Stamp:**

By:

Name of Guarantor \_\_\_\_\_

Title of Guarantor \_\_\_\_\_

Signature of Owner X \_\_\_\_\_ Date \_\_\_\_\_

## 6. Establishment of Account by PIMCO Funds

PIMCO Funds has established the account referenced in Section 3 and requests the transfer of non-retirement dollars as indicated above in Section 3. To ensure proper crediting, make check payable to:

**PIMCO Family of Funds** and reference the following:

|                         |                                          |                                       |
|-------------------------|------------------------------------------|---------------------------------------|
| _____<br>(Name of Fund) | _____<br>Account of (Participant's Name) | _____<br>Participant's account number |
|-------------------------|------------------------------------------|---------------------------------------|

### ATTENTION CURRENT RESIGNING INSTITUTION:

Mail check and copy of this form identifying it as a transfer of Non Retirement Plan dollars to:

Direct Mail: PIMCO Funds P.O. Box 219294 Kansas City, MO 64121

Overnight Mail: PIMCO Funds 801 Pennsylvania Avenue, Ste 219294 Kansas City, MO 64105-1307

\_\_\_\_\_  
Authorized signature of PIMCO Funds

\_\_\_\_\_  
Date

### FOR BANK USE ONLY

|                      |                        |                                   |
|----------------------|------------------------|-----------------------------------|
| _____<br>Bank Number | _____<br>Branch Number | _____<br>Bank Representative I.D. |
|----------------------|------------------------|-----------------------------------|