

PIMCO Funds

CLASS A AND CLASS C SHARES

Contact Information:

Phone: 800.426.0107

Website: pimco.com

Direct Mail:

PIMCO Funds

P.O. Box 219294

Kansas City, MO 64121

Overnight Mail:

PIMCO Funds

801 Pennsylvania Avenue, Ste 219294

Kansas City, MO 64105-1307

Use this form to make changes to or add account options to an existing account. This form is not intended for retirement accounts or individual participants of qualified plans. If you are an individual participant of a qualified plan, please contact your plan provider.

1. Current Account Information

Account Number _____

Registered Account Name(s) _____

Daytime Telephone Number () _____

2. Address Change☐ Mailing Address Change*☐ Physical Address Change

New Street Address _____

New City _____ New State _____ New ZIP Code _____

New Daytime Telephone Number () _____

*When changing the mailing address, a distribution can not be made within 30 days unless the request is accompanied by a Medallion Signature Guarantee.

3. Name Change

(Medallion Signature Guarantee or Signature Validation Program Stamp Required in Section 12)

Former Name (First, Middle Initial, Last) _____

New Name (First, Middle Initial, Last) _____

4. Telephone Transactions

Please accept or decline the right to transact via telephone for the below privileges. The selected privileges will be linked to the bank account currently on file. If there is no bank account on file and you would like to link these privileges so that purchases and redemptions can be debited/credited electronically, you must provide banking instructions in Section 9. **In completing this section, I acknowledge that this information will supersede any previous telephone transaction privileges.**

Purchases ☐ Accept ☐ Decline

Exchanges ☐ Accept ☐ Decline

Redemptions ☐ Accept ☐ Decline

If you would like to make purchase and redemptions by wire, check here: ☐

(Your bank may charge additional fees for wire transactions)

PIMCO Funds may accept telephone instructions from any person identifying himself or herself as the owner of an account or the owner's dealer representative provided that PIMCO Funds follows reasonable procedures and believes the instructions to be genuine. Thus, you risk potential losses in the event of an unauthorized telephone request. If bank account information is on file, purchases and redemptions will be debited or credited directly to the bank account listed upon written or oral authorization. If elected, all wire instructions must be received in good order by PIMCO prior to NYSE close (or as otherwise noted in the prospectus) on the day your wire is received in order to receive that day's NAV. Due to mandatory cost basis regulations, for 1099-B reportable accounts, any gains or losses on mutual fund shares acquired and subsequently redeemed after January 1, 2012 will be reported to the IRS in accordance with your cost basis election method.

5. Dividend and Capital Gain Distribution Change

Select your dividend and capital gain distribution method. **In completing this section, I acknowledge that this information will supersede any previous dividend and capital gain elections.**

Select one of the following options:

☐ Reinvest in the same fund. ☐ Dividends ☐ Capital Gains

☐ Reinvest in a different fund:

☐ Reinvest from fund _____ to fund _____ ☐ Dividends ☐ Capital Gains

☐ Reinvest from fund _____ to fund _____ ☐ Dividends ☐ Capital Gains

☐ Reinvest from fund _____ to fund _____ ☐ Dividends ☐ Capital Gains

☐ Reinvest from fund _____ to fund _____ ☐ Dividends ☐ Capital Gains

If you have additional selections, please attach a separate page that includes all of the information requested above. Sign and date the page.

☐ Pay in cash:

☐ Deposit in my bank account via electronic transfer. ☐ Dividends ☐ Capital Gains

(Please complete Section 9 if establishing or changing banking instructions)

☐ Pay by check to account registration address. ☐ Dividends ☐ Capital Gains

☐ Pay by check to a third party below: ☐ Dividends ☐ Capital Gains

(Medallion Signature Guarantee or Signature Validation Program Stamp required in Section 12)

Third Party Name _____

Third Party Address _____

City _____ State _____ ZIP Code _____

6. Automatic Investment Plan

- ☐ Please establish a new automatic investment plan for the funds and amounts listed below.
(If establishing or changing banking information, please complete Section 9 and Section 12 – a Medallion Signature Guarantee or Signature Validation Program Stamp is required)
- ☐ Please change my current automatic investment plan.
(If banking information is changing, please complete Section 9 and Section 12 – a Medallion Signature Guarantee or Signature Validation Program Stamp is required)
- ☐ Please cancel my current automatic investment plan.

Investment Schedule:

Transactions should occur ☐ Monthly ☐ Quarterly ☐ Annually

Transactions should begin _____ / _____ / _____ mm/dd/yyyy)

Fund Name _____ ☐ Class A ☐ Class C Purchase Amount* _____
(\$50 minimum per fund)

Fund Name _____ ☐ Class A ☐ Class C Purchase Amount* _____
(\$50 minimum per fund)

Fund Name _____ ☐ Class A ☐ Class C Purchase Amount* _____
(\$50 minimum per fund)

Fund Name _____ ☐ Class A ☐ Class C Purchase Amount* _____
(\$50 minimum per fund)

If you have additional selections, please attach a separate page that includes all of the information requested above. Sign and date the page.

PIMCO Funds will code an account as lost when the United States Post Office or another carrier returns mailing(s) sent to the shareholder by PIMCO Funds as undeliverable. Once an account is deemed lost, for the shareholder's protection, PIMCO Funds will stop any automatic investment plan on the account. Additionally, once PIMCO Funds confirms a shareholder is deceased, any automatic investment plan on the accounts will be stopped.

**A \$250 minimum investment is required to open a new fund position through the Automatic Investment Plan. If you would like to make the initial purchase for the new fund position via electronic transfer from your bank account on file, please check here: ☐ \$ _____ Fund Name _____*

Automatic investments are subject to the following conditions. Your cost basis election method will be applied to all future funds added to ALL of your accounts unless otherwise indicated by you. Your bank account will be charged on or about the date of each investment as shown above. The privilege of making investments by automatic investment plan may be revoked by PIMCO Funds without prior notice if any check is not paid upon presentation. PIMCO Funds shall be under no obligation to notify the undersigned as to the non-payment of any check. Automatic investments may be discontinued by PIMCO Funds upon thirty (30) days' written notice prior to any investment date or by the undersigned at any time by written notice to PIMCO Funds, provided such notice is received at least ten (10) business days prior to the due date of any investment.

7. Automatic Exchange Plan

Due to mandatory cost basis regulations, for 1099-B reportable accounts, any gains or losses on mutual fund shares acquired and subsequently redeemed after January 1, 2012 will be reported to the IRS in accordance with your cost basis election method.

A Medallion Signature Guarantee or Signature Validation Program Stamp is required in Section 12 if you are exchanging between accounts that have different registrations.

- ☐ Please establish a new automatic exchange plan for the funds and amounts listed below.
- ☐ Please change my current automatic exchange plan.
- ☐ Please cancel my current automatic exchange plan.

Auto Exchange Schedule:

Exchanges should occur ☐ Monthly ☐ Quarterly on or about the ☐ 1st ☐ 15th or ☐ _____

Amount of exchange _____ **Exchanges should begin** _____ / _____ / _____ (mm/dd/yyyy)

(\$50 minimum per fund)

7. Automatic Exchange Plan (continued)

From: Fund Name _____ Account Number* _____

Please make the exchange to the following PIMCO Funds account:

To: Fund Name _____ Account Number* _____

If you have additional selections, attach a separate page that includes all of the information requested above. Sign and date the page.

PIMCO Funds will code an account as lost when the United States Post Office or another carrier returns mailing(s) sent to the shareholder by PIMCO Funds as undeliverable. Once an account is deemed lost, for the shareholder's protection, PIMCO Funds will stop any automatic investment plan on the account. Additionally, once PIMCO Funds confirms a shareholder is deceased, any automatic investment plan on the accounts will be stopped.

**A \$1,000 minimum exchange is required to open a new account or fund position through the Automatic Exchange Plan. If the new account will have a different registration, you will also need to complete a PIMCO Funds Account Application. If you would like to make the initial purchase for the new fund position via electronic transfer from your bank account on file, please check here: ☐ \$ _____ Fund Name _____.*

PIMCO Funds may discontinue the automatic exchange upon written notice 30 days prior to any exchange date, or by the above-signed shareholder at any time at least ten (10) business days prior to an exchange date.

8. Automatic Withdrawal Plan

A minimum account balance of \$10,000 is required for an automatic withdrawal plan to be established on an account. Due to mandatory cost basis regulations, for 1099-B reportable accounts, any gains or losses on mutual fund shares acquired and subsequently redeemed after January 1, 2012 will be reported to the IRS in accordance with your cost basis election method.

☐ Please establish a new automatic withdrawal plan for the following funds and amounts.

☐ Please change my current automatic withdrawal plan.
(If banking information is changing, please also complete Section 9)

☐ Please cancel my current automatic withdrawal plan.

Withdrawal Schedule:

Transactions should occur ☐ Monthly ☐ Quarterly ☐ Annually

Transactions should begin _____ / _____ / _____ (mm/dd/yyyy)

Fund Name _____ ☐ Class A ☐ Class C Withdrawal Amount _____
(\$50 minimum per fund)

Fund Name _____ ☐ Class A ☐ Class C Withdrawal Amount _____
(\$50 minimum per fund)

Fund Name _____ ☐ Class A ☐ Class C Withdrawal Amount _____
(\$50 minimum per fund)

Fund Name _____ ☐ Class A ☐ Class C Withdrawal Amount _____
(\$50 minimum per fund)

Note: This can result in the liquidation of the account in the event the periodic distribution amount exceeds the current account balance.

If you have additional selections, please attach a separate page that includes all of the information requested above. Sign and date the page.

8. Automatic Withdrawal Plan *(continued)*

Please Send Redemption Proceeds to:

- ☐ Account registration address
- ☐ Bank account via electronic transfer
(If establishing or changing banking information, please complete Section 9 and Section 12 – a Medallion Signature Guarantee or Signature Validation Program Stamp is required)
- ☐ Third party payee as follows:
(Medallion Signature Guarantee or Signature Validation Program Stamp required in Section 12)

Name _____

Address _____

City _____ State _____ ZIP Code _____

PIMCO Funds will code an account as lost when the United States Post Office or another carrier returns mailing(s) sent to the shareholder by PIMCO Funds as undeliverable. Once an account is deemed lost, for the shareholder's protection, PIMCO Funds will stop any automatic investment plan on the account. Additionally, once PIMCO Funds confirms a shareholder is deceased, any automatic investment plan on the accounts will be stopped.

Withdrawals will be sent to account registration address or to the bank account on file via electronic transfer, unless otherwise specified. If you are authorizing redemption proceeds to be sent to the bank account, those proceeds will normally post to your bank account up to 3 days after the date you have selected to have your redemption(s) processed. This plan is subject to the terms of the prospectus. PIMCO Funds is hereby authorized to redeem shares from this account in accordance with the instructions listed above.

9. Bank Account Information

(Medallion Signature Guarantee or Signature Validation Program Stamp Required in Section 12)

Please provide your bank account information below to change or establish banking instructions. **In completing this section, I acknowledge that this information will be used for all new or existing account options and will supersede any previous banking instructions.**

Type of Account (Select one):

- ☐ Checking Account (Please attach a pre-printed voided check.*)
- ☐ Savings Account (Please attach a voided deposit slip.*)

Bank Account Registration

Bank Name

Bank Routing Number

Bank Account Number

Tape your voided check or deposit slip here.*

John A. Sample
123 Same Street
Anywhere, USA 12345

0000

Date _____

Pay to the
Order of: _____ \$ _____
DOLLARS

ANY BANK USA

Memo: _____

|: 000000000 |: 000000000 || 0000

Signature of Bank Account Owner(s) (Required):

Signature X _____ Date _____

Signature X _____ Date _____

(If multiple account owners listed on Checking or Savings Account, all must sign.)

**Alternatively if unavailable,, PIMCO Funds will accept a letter on bank letterhead, signed by a bank employee confirming the bank account type, registration, account number and routing number.*

10. Reduced Sales Charge for Class A shares (choose one only)

- ☐ **Right of Accumulation:** I own shares in other PIMCO Funds which may entitle future purchases to a reduced sales charge under the provisions in the fund prospectus. (See "Initial Sales Charges - Class A Shares: Combined Purchase Privilege and Right of Accumulation (Breakpoints)" in the prospectus.)

Existing Account Name _____ Account Number _____

Existing Account Name _____ Account Number _____

- ☐ **Letter of Intent (LOI)*:** I agree to the Letter of Intent conditions stated in the current prospectus. I intend to invest, within a 13-month period beginning on the establish date, in shares of the fund(s) purchased, an aggregate amount which, together with the value of shares of any eligible funds owned by me on the establish date, will be at least equal to:

☐ Establish NEW LOI: ☐ \$50,000 ☐ \$100,000 ☐ \$250,000 ☐ \$500,000 ☐ \$1,000,000

☐ Add to EXISTING LOI: Existing Account Name _____ Account Number _____

- ☐ Please check here if you wish to have purchases made in the account(s) within the past 90 days count towards this LOI. If selected, note that the date of the earliest purchase being used will become the establish date of the LOI (please see the prospectus for complete information). If this box is not marked, the LOI will not be credited with purchases made within the last 90 days.

* The maximum intended investment amount allowable in a Letter of Intent is \$1,000,000 (except for Class A shares of the PIMCO California Intermediate Municipal Bond, PIMCO California Municipal Bond, PIMCO California Short Duration Municipal Income, PIMCO Floating Income, PIMCO High Yield Municipal Bond, PIMCO Low Duration, PIMCO Municipal Bond, PIMCO National Intermediate Municipal Bond, PIMCO New York Municipal Bond, PIMCO Senior Floating Rate, PIMCO Short Asset Investment, PIMCO Short-Term and PIMCO Short Duration Municipal Income Funds, for which the maximum intended investment amount is \$250,000 - these funds can not be included in an LOI for any amount greater than \$250,000).

11. Signature(s) and Certification

Please sign exactly as your name(s) appear on your PIMCO Funds account:

Signature of account owner, trustee, executor/executrix, general partner, etc. X _____

Date _____

Title of Officer, Trustee, etc. _____

(If applicable)

Signature of account owner, trustee, executor/executrix, general partner, etc. X _____

Date _____

Title of Officer, Trustee, etc. _____

(If applicable)

12. Signature Validation

Medallion Signature Guarantee or Signature Validation Program Stamp*:

By:

Name of Guarantor _____

Title of Guarantor _____

Signature of Guarantor X _____ Date _____

*Please note that for transactions considered financial in nature, PIMCO Funds requires a Medallion Signature Guarantee (MSG). A Signature Validation Program (SVP) Stamp will only be accepted for transactions non-financial in nature. If you have any questions regarding a signature validation, please contact a Client Service Representative at 800.426.0107.

